



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application No.	10/808,990
		Filing Date	March 24, 2004
		First Named Inventor	Samson Huang
		Art Unit	Not assigned
		Examiner Name	Not assigned
Total Number of Pages in This Submission		Attorney Docket Number	42P15059D

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Résponse <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; height: 40px; width: 100%;">- return postcard</div>	
			<input type="checkbox"/> Drawing(s)
			<input type="checkbox"/> Licensing-related Papers
			<input type="checkbox"/> Petition
			<input type="checkbox"/> Petition to Convert a Provisional Application
			<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
			<input type="checkbox"/> Terminal Disclaimer
			<input type="checkbox"/> Request for Refund
			<input type="checkbox"/> CD, Number of CD(s)
			<input type="checkbox"/> After Allowance Communication to Group

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 19, 2004

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Derek S. Watson		
Signature		Date	April 19, 2004

APR 22 2004



FEET TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number	10/808,990
Filing Date	March 24, 2004
First Named Inventor	Samson Huang
Examiner Name	Not assigned
Art Unit	Not assigned
Attorney Docket No.	42P15059D

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account

 Deposit Account Number **02-2666**

 Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman LLP**

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
		20**	= <input type="text"/> X <input type="text"/> = <input type="text"/>	
		3	= <input type="text"/> X <input type="text"/> = <input type="text"/>	

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1202	18	2202	9
1201	86	2201	43
1203	290	2203	145
1204	86	2204	43
1205	18	2205	9
SUBTOTAL (2)		(\$)	

**or number previously paid, if greater. For Reissues, see below

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge - late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
2053	130	2053	130 Non-English specification
1812	2,520	1812	2,520 For filing a request for ex parte reexamination
1804	920*	1804	920* Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840* Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	420	2252	210 Extension for reply within second month
1253	950	2253	475 Extension for reply within third month
1254	1,480	2254	740 Extension for reply within fourth month
1255	1,210	2255	605 Extension for reply within fifth month
1404	330	2401	165 Notice of Appeal
1402	330	2402	165 Filing a brief in support of an appeal
1403	290	2403	145 Request for oral hearing
1451	1,510	2451	1,510 Petition to institute a public use proceeding
1452	110	2452	55 Petition to revive - unavoidable
1453	1,330	2453	665 Petition to revive - unintentional
1501	1,330	2501	665 Utility issue fee (or reissue)
1502	480	2502	240 Design issue fee
1503	640	2503	320 Plant issue fee
1460	130	2460	130 Petitions to the Commissioner
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of Information Disclosure Stmt
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1809	770	1809	385 Filing a submission after final rejection (37 CFR § 1.129(a))
1810	770	2810	385 For each additional invention to be examined (37 CFR § 1.129(b))
1801	770	2801	385 Request for Continued Examination (RCE)
1802	900	1802	900 Request for expedited examination of a design application
Other fee (specify)			

* Reduced by Basic Filing Fee Paid

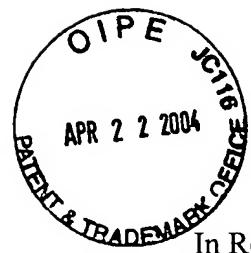
SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 684-6200
Signature				Date	04/19/04

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (win) 02/10/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SAMSON HUANG, ET AL.

Application No.: 10/808,990

Filed: March 24, 2004

For: **LCOS IMAGING DEVICE**

Art Group: Not assigned

Examiner: Not assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

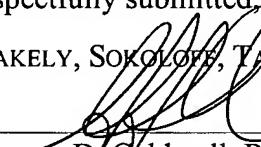
In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 10/334,959, filed December 30, 2002) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP


Gregory D. Caldwell, Reg. No. 39,926

Date: April 19, 2004

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (503) 684-6200

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Derek S. Watson

04-19-04

Date



1 Substitute for form 1449A/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

3

3

Complete if Known

10/808, 998

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42 P 150594

U.S. PATENT DOCUMENT

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
1	US-5,621,360		04-15-1997	Huang	
2	US-6,153,927		11-28-2000	Raj et al.	
3	US-6,172,799 B1		01-09-2001	Raj	
4	US-6,175,442 B1		01-16-2001	Booth, Jr. et al.	
5	US-6,196,687 B1		03-06-2001	Smith	
6	US-6,208,392 B1		03-27-2001	Miller et al.	
7	US-6,215,534 B1		04-10-2001	Raj et al.	
8	US-6,220,710 B1		04-24-2001	Raj et al.	
9	US-6,231,194 B1		05-15-2001	Raj et al.	
10	US-6,278,428 B1		08-21-2001	Smith et al.	
11	US-6,280,037 B1		08-28-2001	Smith	
12	US-6,285,349 B1		09-04-2001	Smith	
13	US-6,295,091 B1		09-25-2001	Huang	
14	US-6,317,169 B1		11-13-2001	Smith	
15	US-6,326,723 B1		12-04-2001	Raj et al.	
16	US-6,346,430 B1		02-12-2002	Raj et al.	
17	US-6,347,013 B1		02-12-2002	Hannah	
18	US-6,348,991 B1		02-19-2002	Smith et al.	
19	US-6,362,920 B1		03-26-2002	Hannah	
20	US-6,369,863 B1		04-09-2002	Smith	

FOREIGN PATENT DOCUMENT

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional).See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.Either Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2000

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p>Complete if Known</p> <table border="1"> <tr> <td>Application Number</td> <td>10/808, 990</td> </tr> <tr> <td>Filing Date</td> <td>3-24-04</td> </tr> <tr> <td>First Named Inventor</td> <td>Huang</td> </tr> <tr> <td>Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>42 PND 590</td> </tr> </table>		Application Number	10/808, 990	Filing Date	3-24-04	First Named Inventor	Huang	Art Unit		Examiner Name		Attorney Docket Number	42 PND 590
Application Number	10/808, 990																
Filing Date	3-24-04																
First Named Inventor	Huang																
Art Unit																	
Examiner Name																	
Attorney Docket Number	42 PND 590																
Sheet	2	of	3														

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
21	US-6,380,980 B1		04-30-2002	Hunag	
22	US-6,384,809 B1		05-07-2002	Smith	
23	US-6,388,372 B1		05-14-2002	Raj et al.	
24	US-6,404,456 B1		06-11-2002	Smith	
25	US-6,409,349 B1		06-25-2002	O'Connor	
26	US-6,437,903 B1		08-20-2002	Kozhukh	
27	US-6,456,262 B1		09-24-2002	Bell	
28	US-6,456,301 B1		09-24-2002	Huang	
29	US-6,530,666 B1		03-11-2003	Smith et al.	
30	US-6,542,134 B1		04-01-2003	Raj	
31	US-6,697,372 B2		07-22-2003	Huang	
32	US-6,613,377 B2		09-02-2003	Wu	
33	US-6,617,177 B1		09-09-2003	Winer	
34	US-6,639,714 B2		10-28-2003	Smith et al.	
35	US-6,642,915 B1		11-04-2003	Booth, Jr. et al.	
36	US-6,642,987 B2		11-04-2003	Chung et al.	
37	US-6,672,722 B2		01-06-2004	O'Connor et al.	
38	US-2002/0047924 A1		04-25-2002	Huang	
39	US-2002/0097215 A1		07-25-2002	Huang	
40	US-2002/0130883 A1		09-19-2002	Huang et al.	

Examiner Signature	Date Considered
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**Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.*

¹Applicant's unique citation designation number (optional).See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.Ether Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p>Complete if Known</p>	
<p>Sheet</p>		<p>of</p>	<p>3</p>	<p>Application Number</p>	<p>10/808, 990</p>
				<p>Filing Date</p>	<p>3.24.04</p>
				<p>First Named Inventor</p>	<p>Wu Long</p>
				<p>Art Unit</p>	
				<p>Examiner Name</p>	
				<p>Attorney Docket Number</p>	<p>42 P150579D</p>

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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'Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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